Solutions to mock exam, 2016-17 Course: M3P16, M4P16, M5P16

Solution to Question 1. [(a) seen 6pts; (b) seen 4pts; (c) seen 10pts]

Part a) A conformal metric on  $\Omega$  is a continuously differentiable  $(C^1)$  function

$$\rho: \Omega \to [0, \infty),$$

where  $\rho(z) \neq 0$  except on a discrete subset of  $\Omega$ . [4pts]

The Poincaré metric on  $\mathbb{D}$  is defined as

$$\rho(z) = \frac{1}{1 - |z|^2}. [2pt]$$

**Part b)** A one-to-one and onto holomorphic map  $f: \Omega \to \Omega$  is called an *automorphism* of  $\Omega$ . The set of all automorphisms of U is called the automorphism group of  $\Omega$ . [2pt]

By a theorem in the lectures, every automorphism of  $\mathbb{D}$  is of the form

$$z \mapsto e^{i\theta} \cdot \frac{z-a}{1-\overline{a}z},$$

for some  $\theta \in \mathbb{R}$  and  $a \in \mathbb{D}$ . [2pt]

**Part c)** Let  $h: \mathbb{D} \to \mathbb{D}$  be an automorphism of  $\mathbb{D}$ . First assume that  $h(z) = e^{i\theta} \cdot z$ . We have

$$(h^*\rho)(z) = \rho(h(z)) \cdot |h'(z)| = \frac{1}{1 - |h(z)|^2} \cdot |e^{i\theta}| = \frac{1}{1 - |z|^2} = \rho(z).$$

Thus, h is an isometry of  $(\mathbb{D}, \rho)$ . [3pt]

Now assume that  $h(z) = (z - a)/(1 - \overline{a}z)$ , we have

$$(h^*\rho)(z) = \rho(h(z)) \cdot |h'(z)|$$

$$= \frac{1}{1 - \left|\frac{z - a}{1 - \overline{a}z}\right|^2} \cdot \frac{1 - |a|^2}{|1 - \overline{a}z|^2}$$

$$= \frac{1 - |a|^2}{|1 - \overline{a}z|^2 - |z - a|^2}$$

$$= \frac{1 - |a|^2}{1 - |z|^2 - |a|^2 + |a|^2|z|^2}$$

$$= \rho(z).$$

That is, h is an isometry of  $(\mathbb{D}, \rho)$  [5pt]. Since the composition of isometries is an isometry, we conclude that any member of  $\mathrm{Aut}(\mathbb{D})$  is an isometry of  $(\mathbb{D}, \rho)$  [2pt].

Solution to Question 2. [(a) seen 4pts; (b) seen 4pts; (c) unseen 6pts; (d) unseen 6pts]

**Part a)** Let  $\Omega$  be an open set in  $\mathbb{C}$  and  $\mathcal{F}$  be a family (set) of maps that are defined on  $\Omega$ . We say that the family  $\mathcal{F}$  is *normal* if every sequence of maps  $f_n$ ,  $n \geq 1$ , in  $\mathcal{F}$  has a sub-sequence that converges uniformly on every compact subset of  $\Omega$ . [4pt]

**Part b)** Theorem: Let  $\mathcal{F}$  be a family of holomorphic maps defined on an open set  $\Omega \subseteq \mathbb{C}$ . If  $\mathcal{F}$  is uniformly bounded on every compact subset of  $\Omega$ , then

- (i)  $\mathcal{F}$  is equicontinuous on every compact subset of  $\Omega$ ;
- (ii)  $\mathcal{F}$  is a normal family.

**Part c)** By Montel's theorem, it is enough to prove that the family is uniformly bounded on every compact subset of  $\mathbb{D}$ . Let  $K \subset \mathbb{D}$  be an arbitrary compact set. There is r < 1 such that for all  $z \in K$ , |z| < r. For every  $f \in \mathcal{F}$ , and every  $z \in K$  we have

$$|f(z)| = \left|\sum_{n=1}^{\infty} a_n z^n\right| \le \sum_{n=1}^{\infty} |a_n z^n| \le \sum_{n=1}^{\infty} a_n z^n \le \sum_{n=1}^{\infty} n r^n = \frac{r}{(1-r)^2} < +\infty.$$

This shows that the family is uniformly bounded on K. [6pt]

It is not necessary to find the precise value of the above series, but any finite upper bound on the series suffices. However, the above sum have been calculated as follows. Set  $S_n = r + 2r^2 + 3r^3 + \cdots + nr^n$ , for  $n \ge 5$ . Then,

$$S_n(1-r) = S_n - rS_n = r + r^2 + r^3 + r^n = r(1-r^n)/(1-r).$$

As  $n \to +\infty$ ,  $r^n \to 0$ , and hence  $S_n \to r/(1-r)^2$ .

**Part d)** Let  $k : \mathbb{D} \to \mathbb{C} \setminus (-\infty, -1/4]$  be the Koebe function. That is, k is a one-to-one and onto holomorphic map. Define the new class of maps

$$\mathcal{G} = \{ k^{-1} \circ f \mid f \in \mathcal{F} \}.$$

Every element of  $\mathcal{G}$  is a holomorphic map defined on  $\mathbb{D}$  with vales in  $\mathbb{D}$ . In particular, the family  $\mathcal{G}$  is uniformly bounded on compact sets. By Montel's theorem,  $\mathcal{G}$  forms a normal family.

Let  $f_n$ ,  $n \geq 1$ , be an arbitrary sequence in  $\mathcal{F}$ . By the above paragraph, the sequence of maps  $k^{-1} \circ f_n$ , for  $n \geq 1$ , has a convergence sub-sequence, say,  $k^{-1} \circ f_{n_i}$ , for  $i \geq 1$ , which converges uniformly on compact subsets of  $\mathbb{D}$ . Since k is a continuous function, it follows that the sequence  $f_{n_i} = k \circ (k^{-1} \circ f_{n_i})$  converges uniformly on compact subsets of  $\mathbb{D}$ . [6pt]

Solution to Question 3. [(a) seen 4pts; (b) seen similar 6pts; (c) unseen 10pts]

**Part a)** Let  $f: \mathbb{D} \to \mathbb{D}$  be holomorphic with f(0) = 0. Then,

- (i) for all  $z \in \mathbb{D}$  we have  $|f(z)| \leq |z|$ ;
- (ii)  $|f'(0)| \le 1$ ;
- (iii) if either f(z) = z for some non-zero  $z \in \mathbb{D}$ , or |f'(0)| = 1, then f is a rotation about 0.

[4pt]

**Part b)** By the Riemann mapping theorem, there is a one-to-one and onto holomorphic map  $\varphi: \Omega \to \mathbb{D}$ . Moreover, by composition the Riemann map with a Möbius transformation, we may assume that  $\varphi(z) = 0$ . [3pt]

The map  $g = \varphi \circ f \circ \varphi^{-1}$  is holomorphic and sends  $\mathbb{D}$  into  $\mathbb{D}$ , with g(0) = 0. By the Schwarz lemma, we must have  $|g'(0)| \leq 1$ . Thus,

$$|f'(z)| = |\varphi'(z) \cdot f'(z) \cdot \frac{1}{\varphi'(z)}| = |g'(0)| \le 1.$$

[3pt]

**Part c)** Since, f is one-to-one, its inverse is define and holomorphic on  $f(\Omega)$ . Since  $g(\Omega) \subset f(\Omega)$ , the map  $h = f^{-1} \circ g$  is defined an holomorphic on  $\Omega$  with values in  $\Omega$ . Moreover, since f(z) = g(z), we have h(z) = z.

By part (b) of the problem, we must have  $|h'(z)| \le 1$ . This implies that  $|g'(z)| \le |f'(z)|$ .

[10pt for this. There may be other ways to reduce the problem to the Schwarz lemma]

Remark: Part (c) introduces a method known as "subordination": it allows one to give an upper bound on the derivative of an arbitrary holomorphic function by finding a conformal map which covers the image of that function.

Solution to Question 4. [(a) seen 6pts; (b) unseen and difficult 14pts]

**Part a)** Theorem: Let  $f: \mathbb{D} \to \mathbb{C}$  be a one-to-one holomorphic map with f(0) = 0 and f'(0) = 1. The set  $f(\mathbb{D})$  contains the open ball B(0, 1/4). [6pts]

**Part b)** The plan is to compose the map f with a suitable Möbius transformation M, and apply the 1/4-theorem to the map  $M \circ f$ . But, for the 1/4-theorem, we need that  $M \circ f(0) = 0$  and  $(M \circ f)'(0) = 1$ . This is guaranteed by requiring M(0) = 0 and M'(0) = 1. [3pt, for the idea to post-compose with a suitable Möbius transformation]

The set of Möbius transformations M(z) = (az+b)/(cz+d) satisfying M(0) = 0 and M'(0) = 1 are of the form z/(cz+1), for an arbitrary complex constant c. [2pt]

Let us first assume that  $|\beta| \ge |\alpha|$ . We choose  $c = -1/\alpha$  so that  $M(\alpha) = \infty$ . We have  $M(\beta) = \beta/(-\beta/\alpha + 1)$ . The map  $h = M \circ f : \mathbb{D} \to \mathbb{C}$  is defined, holomorphic, one-to-one, h(0) = 0, and h'(0) = 1. The map h omits  $M(\beta)$  since f omits  $\beta$ . By the 1/4-theorem, we must have  $|M(\beta)| \ge 1/4$ . Hence

$$|\beta| \ge (-\beta/\alpha + 1)/4 \ge 2/4 = 1/2$$
. [5pt]

By a similar argument (replace  $\alpha$  with  $\beta$ ), we note that if  $|\alpha| \geq |\beta|$ , then  $|\alpha| \geq 1/2$ .

We choose the parameter  $c = (-\beta - \alpha)/2\alpha\beta$  so that  $M(\alpha) = -M(\beta)$ . Apply the Koebe 1/4-theorem to the map  $h = M \circ f$ , which omits two values  $M(\alpha)$  and  $M(\beta)$  of the same size. So, we must have  $|M(\alpha)| \ge 1/2$ , which gives us

$$|\beta - \alpha| \ge 1/4.$$

Finally since for all x and y,  $xy \le (x+y)^2/4$ , we conclude that  $|\beta - \alpha| \ge 1$ . [4pt]

Remark: Note that the biholomorphic map  $f: \mathbb{D} \to \mathbb{C} \setminus ((-\infty, -1/2] \cup [1/2, +\infty))$ ,

$$f(z) = \frac{z}{1 + z^2}$$

we studied in the lectures shows that the above inequality is sharp.

Solution to Question 5. [(a) seen 5pts; (b) unseen 7pts; (c) unseen and difficult 8pts]

**Part a)** Theorem: Let  $\mu: \mathbb{C} \to \mathbb{D}$  be a continuous map with  $\sup_{z \in \mathbb{C}} |\mu(z)| < 1$ . Then, there is a quasi-conformal map  $f: \mathbb{C} \to \mathbb{C}$  such that  $f_{\overline{z}}(z) = \mu(z) f_z(z)$  holds on  $\mathbb{C}$ . [3pts]

Moreover, the solution f is unique if we assume that f(0) = 0 and f(1) = 1. [2pts]

**Part b)** By MRMT, we need to verify that  $\mu$  is continuous and  $\sup_{\mathbb{C}} |\mu| < 1$ . [2pt] Continuity: On Im z > 0 and Im z < 0  $\mu$  is continuous since it is given by a linear combination of the exponential maps. We need to show that the two formulas match on Im z = 0. The first formula becomes

$$\mu(z) = \frac{1}{4}(e^{iz} + e^{-i\overline{z}}) = \frac{1}{4}(e^{iz} + e^{-iz}).$$

The second one becomes

$$\mu(z) = \frac{1}{4}(e^{-iz} + e^{i\overline{z}}) = \frac{1}{4}(e^{-iz} + e^{iz}).$$

This shows that  $\mu$  is continuous on  $\mathbb{C}$ . [2pt]

Size of  $\mu$ : Let z = x + iy. When Im  $z = y \ge 0$ , we have

$$|\mu(z)| \le \frac{1}{4} (|e^{iz}| + |e^{-i\overline{z}}|) = \frac{1}{4} (|e^{-y}| + |e^{-y}|) \le \frac{1}{4} (1+1) = \frac{1}{2}.$$

When,  $\operatorname{Im} z = y \leq 0$ ,

$$|\mu(z)| \leq \frac{1}{4} \left( |e^{-iz}| + |e^{i\overline{z}}| \right) = \frac{1}{4} \left( |e^y| + |e^y| \right) \leq \frac{1}{4} (1+1) = \frac{1}{2}.$$

Hence,  $\sup_{\mathbb{C}} |\mu| \le 1/2 < 1$ . [3pt]

**Part c)** First we show that  $\mu(\overline{z}) = \overline{\mu(z)}$ . When Im  $z \geq 0$ ,

$$\overline{\mu(z)} = \frac{1}{4} \overline{(e^{iz} + e^{-i\overline{z}})} = \frac{1}{4} (e^{-i\overline{z}} + e^{iz}),$$

and (as  $\operatorname{Im} \overline{z} \leq 0$ )

$$\mu(\overline{z}) = \frac{1}{4} (e^{-i\overline{z}} + e^{iz}).$$

When  $\operatorname{Im} z \leq 0$ ,

$$\overline{\mu(z)} = \frac{1}{4} \overline{(e^{-iz} + e^{i\overline{z}})} = \frac{1}{4} (e^{-i\overline{z}} + e^{-iz}),$$

and (as  $\operatorname{Im} \overline{z} \geq 0$ )

$$\mu(\overline{z}) = \frac{1}{4} (e^{-i\overline{z}} + e^{-iz}).$$

These show that  $\mu(\overline{z}) = \overline{\mu(z)}$  on  $\mathbb{C}$ . [2pt]

For the remaining part we present two solutions.

Solution 1: Define  $G(z) = \overline{\varphi(\overline{z})}$ . We claim that G is also the solution of the Beltrami equation with coefficient  $\mu$ . Since, G(0) = 0 and G(1) = 1, by the uniqueness in the MRMT, we conclude that  $G(z) \equiv \varphi(z)$ , which gives the desired functional equation.

By MRMT,  $\varphi$  is a diffeomorphism from  $\mathbb{C}$  to  $\mathbb{C}$ . Thus,  $G:\mathbb{C}\to\mathbb{C}$  is a diffeomorphism. So, to prove that G is the solution of the Beltrami equation, it is enough to show that G sends the field of ellipses generated by  $\mu(z)$  to the field of circles on  $\mathbb{C}$ .

The map  $z \to \overline{z}$  sends the infinitesimal ellipse given by  $\mu(z)$  at z to the ellipse  $\overline{\mu(z)}$  at  $\overline{z}$ . This implies that  $z \to \overline{z}$  sends the infinitesimal ellipse given by  $\overline{\mu(\overline{z})}$  at  $\overline{z}$  to the ellipse  $\mu(z)$  at z. Then,  $\varphi$  sends the infinitesimal ellipse given by  $\mu(z)$  at z to the infinitesimal circle at  $\varphi(z)$ . Combining these together, we conclude that the map  $z \mapsto \varphi(\overline{z})$ , sends the ellipse given by  $\overline{\mu(\overline{z})}$  at  $\overline{z}$  to the infinitesimal circle at  $\varphi(\overline{z})$ . Finally, since  $z \mapsto \overline{z}$  preserves the field of circles (this is the second conjugation in G), the map G sends the field of ellipses generated by  $\overline{\mu(\overline{z})}$  to the field of circles. However, we already showed that  $\overline{\mu(\overline{z})} = \mu(z)$ . [6pt]

Solution 2: By MRMT,  $\varphi$  is a diffeomorphism from  $\mathbb{C}$  to  $\mathbb{C}$ . This implies that the map  $H(z) = \varphi(\overline{\varphi^{-1}(\overline{z})})$  is a well-defined diffeomorphism of  $\mathbb{C}$ . We aim to show that H is biholomorphic from  $\mathbb{C}$  to  $\mathbb{C}$ . Evidently, being the composition of the diffeomorphisms  $\varphi$ ,  $\varphi^{-1}$ , and the complex conjugation, H is one-to-one and onto. It remains to show that H is holomorphic.

To prove that H is holomorphic, by the Weyl's lemma, it is enough to show that  $H_{\overline{z}} \equiv 0$ . But, since H is a diffeomorphism, this is equivalent to showing that H maps the field of circles to the field of circles.

By MRMT,  $\varphi$  sends the field of ellipses generated by  $\mu(z)$  to the field of circles on  $\mathbb{C}$ . Thus,  $\varphi^{-1}$  sends the field of circles to the field of ellipses generated by  $\mu(z)$ . The complex conjugation sends the field of circles to the field of circles. Hence,  $\varphi^{-1}(\overline{z})$  sends the field of circles to the field of ellipses generated by  $\mu(z)$ . This implies that  $\overline{\varphi^{-1}(\overline{z})}$  sends the field of circles to the field of ellipses generated by  $\overline{\mu(z)} = \mu(z)$ . As  $\varphi$  sends those ellipses to the field of circles, H sends the field of circles to the field of circles.

By a theorem in the lectures, the biholomorphic map  $H: \mathbb{C} \to \mathbb{C}$  must be of the form Az + B, for some complex constants A and B. On the other hand, as  $\varphi(0) = 0$  and  $\varphi(1) = 1$ , we have H(0) = 0 and H(1) = 1. These imply that B = 0 and A = 1. That is,  $H(z) = \varphi(\overline{\varphi^{-1}(\overline{z})}) \equiv z$ . This implies that  $\varphi(\overline{w}) = \overline{\varphi(w)}$ , for all  $w \in \mathbb{C}$ . [6pt]